

4-19-06

IFW 2874

DOCKET NO.: PUAS-0016

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Stephen R. Forrest, et al.

Confirmation No.: 8094

Application No.: 09/982,001

Group Art Unit: 2874

Filing Date: October 18, 2001

Examiner: Akme Ullah

For: TWIN WAVEGUIDE BASED DESIGN FOR PHOTONIC INTEGRATED CIRCUITS

EXPRESS MAIL LABEL NO: EV816192129US
DATE OF DEPOSIT: *April 10, 2006*

(EV816192129US)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

Certification in Accordance with § 1.97(e) is attached; or

The fee of \$180.00 as set forth in § 1.17(p) is attached.

In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

Copies of reference numbers **100 - 102** listed on the attached Form PTO-1449 are enclosed herewith.

Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

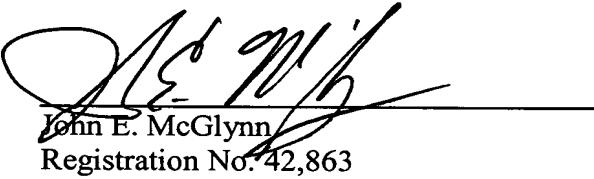
The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

The references cited on the attached PTO-1449 form were cited in the International Search Report Written Opinion dated March 29, 2006 that was received in aPCT application that contains related subject matter.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 4/10/06



John E. McGlynn
Registration No. 42,863

WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

© 2006 WW



Form PTO-1449 Modified	
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)	Docket No. PUAS-0016
	Application No. 09/982,001
	Applicant Stephen R. Forrest, et al.
U.S. Department of Commerce Patent and Trademark Office	Filing Date October 18, 2001
	Group 2874
	Confirmation No. 8094

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation	
				YES	NO

EXAMINER _____ **DATE CONSIDERED** _____